

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

CARRILLO HUETTEL, LLP, et al.

Defendants.

13 Civ. 1735 (GBD)(JCF)

NOTICE OF DEPOSITION

PLEASE TAKE NOTICE that, pursuant to Federal Rules of Civil Procedure 26 and 30, Plaintiff Securities and Exchange Commission, by and through its attorneys, will take the deposition upon oral examination of Luis J. Carrillo on February 18, 2015, at 9:30 a.m., at a location to be determined in San Diego, California. The deposition will be taken before a notary public or other officer authorized to administer oaths and will be recorded stenographic means, consistent with the provision of Federal Rules of Civil Procedure 30(b)(3). You are invited to attend and cross examine.

Dated: New York, New York
February 3, 2015

SECURITIES AND EXCHANGE COMMISSION

By: /s/ Todd D. Brody
Todd D. Brody
New York Regional Office
200 Vesey Street (Brookfield Place)
4th Floor
New York, New York 10281
Tel: (212) 336-0080
brodyt@sec.gov

CERTIFICATE OF SERVICE

I, TODD D. BRODY , hereby certify that on February 3, 2015, I caused to be served a true and correct copy of the NOTICE OF DEPOSITION of Luis J. Carrillo on the following by email and U.S. First Class Mail:

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Dated: New York, New York
February 3, 2015

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